

02-16-01

A

EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	MARGARET D. PIERCE	Express Mail No.	EL 563 388 483 US
Signature	<i>Margaret D. Pierce</i>	Date	2/12/01

PRO

USPS

02/12/01

PTO
UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO. 24353

Docket No. 6510-096CIP3

First Named Inventor Mahley, et al.

Title: Compounds Effecting Neuron Remodeling and Assays for Same

02/12/01

02/12/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form	5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)
2. <input checked="" type="checkbox"/> Specification Total Pages 73 <small>(preferred arrangement set forth below)</small>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>
<ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix 	
<ul style="list-style-type: none"> - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets 13	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Oath or Declaration Total Sheets 02	8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>
<ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> 	
<ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small> 	
<ul style="list-style-type: none"> c. <input checked="" type="checkbox"/> Unsigned 	
ACCOMPANYING APPLICATION PARTS	
<ul style="list-style-type: none"> 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) <input type="checkbox"/> Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Other: 	

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

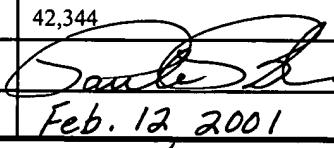
Continuation Divisional Continuation-in-part (CIP) of prior application No. 09/070,675, filed April 30, 1998, which application is a CIP of application serial No. 08/659,785, filed January 19, 1996, which application is a CIP of application serial No. 60/005,550, filed October 17, 1995.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new non-provisional applications under 37 CFR 1.53(b))

17. CORRESPONDENCE ADDRESS

Individual Name	Paula A. Borden		
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Address	200 Middlefield Road, Suite 200		
City, State, Zip	Menlo Park, CA 94025		
Country	U.S.A.		
Telephone	(650) 327-3400	Facsimile	(650) 327-3231

SIGNATURE of Applicant or Assignee of Record

Individual Name	Paula A. Borden		
Registration No.	42,344		
Signature			
Date	Feb. 12, 2001		

F:\DOCUMENT\6510 - UCAL\096cip3\app transmittal.wpd

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

CUSTOMER NO. 4353

Attorney Docket Number 6510-096CIP3

Confirmation Number N/A

First Named Inventor Mahley, et al.

Application Number N/A

Filing Date Herewith

Group Art Unit N/A

Examiner Name N/A

METHOD OF PAYMENT

- A Check Is Enclosed
- The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	710	201	355	Utility filing fee	\$
102	320	206	160	Design filing fee	
104	490	207	245	Plant filing fee	
109	710	208	355	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$ 355

2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims	28	20	x 9	\$72
Ind. claims	14	03	x 40	\$440
Multiple Dependent claims			x	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2) \$512				

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Fee Description	Fee Due
105	130	205	65 Surcharge - late filing fee or oath	
			127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
115	110	215	55 Ext. for reply within first month	
117	890	217	445 Ext. for reply within third month	
128	1,890	228	945 Ext. for reply within fifth month	
120	310	220	155 Filing brief in support of appeal	
140	110	240	55 Petition to revive - unavoidable	
142	1,240	242	620 Utility issue fee (or reissue)	
123	50	123	50 Petitions related to prov. appl.	
146	710	246	355 Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
143	440	243	220 Design issue fee	
581	40	581	40 Recording patent assignment	
Other fee (specify)				

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0.00

TOTAL AMOUNT TO BE CHARGED (\$) 867.00

SUBMITTED BY

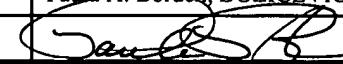
Complete (if applicable)

Typed or Printed Name

Paula A. Borden, BOZICEVIC, FIELD & FRANCIS LLP

Reg. Number 42,344

Signature



Date

Feb. 12, 2001

Deposit Account

50-0815